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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/603,207	06/25/2003	Richard Douglas Kemp	3524/170	2681
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FROMMER LAWRENCE & HAUG 745 FIFTH AVENUE- 10TH FL. NEW YORK, NY 10151			EXAMINER PHAM, KHANH B	
			ART UNIT 2166	PAPER NUMBER
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

## Office Action Summary

Application No.

10/603,207

Applicant(s)

KEMP, RICHARD DOUGLAS

Examiner

Khanh B. Pham

Art Unit

2166

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 05 July 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-12, 16-24, 27-36, 42, 44 and 46-55 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-12, 16-24, 27-36, 42, 44 and 46-55 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- ☐ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☒ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date 7/31/07.
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- ☐ Notice of Informal Patent Application
- ☐ Other: \_\_\_\_\_.

## DETAILED ACTION

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on July 5, 2007 has been entered.

### ***Claim Rejections - 35 USC § 102***

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. **Claims 1-12, 16-24, 27-36, 42, 44 and 46-55** are rejected under 35 U.S.C. 102(e) as being anticipated by Lee et al. (US 6,839,707 B2), hereinafter "**Lee**".

**As per claim 1**, Lee teaches at Fig. 1 a system for providing legal information, the system comprising at least one computer, at least one database associated with the at least one computer for storing information related to a plurality of legal topics at Figs. 3, 6, and to at least one type of legal information of a plurality of types of legal

information at Fig. 7, the information being stored in relation to the plurality of legal topic at Figs. 10-11, programming stored on a computer readable medium or media that cause the at least one computer to:

- “access within the at least one database information that has been stored in relation to at least one legal topic, in response to a request received from a user-accessible terminal coupled with the system” at Col. 2 lines 14-22 and Fig. 6;
- “cause the accessed information to be displayed on a display device associated with the terminal from which the request was received, tabulated by type of legal information” at Figs. 6-17.

**As per claim 2**, Lee teaches the system of claim 1, wherein “the plurality of types of legal information comprises at least two of: administrative action, legislative action, rulemaking, reported judicial decisions, and news” at Figs. 6-8.

**As per claim 3**, Lee teaches the system of claim 1, wherein tabulating the displayed information comprises displaying information related to each type in a separate display window” at Fig. 6-8.

**As per claim 4**, Lee teaches the system of claim 3, wherein “the plurality of types of legal information comprises at least two of : “administrative action, legislative action, rulemaking, reported judicial decisions, court filings, news, and secondary materials” at Figs. 6-8.

**As per claim 5**, Lee teaches the system of claim 3, wherein “the plurality of types of legal information comprises at least: administrative action, legislative action, rulemaking, reported judicial decisions, court filings, news, and secondary materials” at Figs. 6-8.

**As per claim 6**, Lee teaches the system of claim 1, wherein “legal topics comprising at least two of: admiralty and maritime; alternative dispute resolution; antitrust; trade regulation; banking; finance; bankruptcy; business; commercial; consumer rights; corporate; business organizations; civil rights; collectibles and personal property; communications; media; constitutional; construction; contracts; criminal; education; employment; labor; entertainment; gaming; sports; environmental; estates, trusts, and wills; family; government; elections and politics; government benefits; government contracts; government administration; state government; local government; health; human rights; immigration; insurance; intellectual property; copyrights; patents; trademarks; international; international trade; internet; litigation; litigation administration; appellate procedure; civil procedure; damages and remedies; evidence; mergers and acquisitions; military; natural resources; energy; native populations; professions and occupations; professional licensing; professional responsibility; products liability; real property; science and technology; securities; US federal taxation; state taxation; international taxation; torts; transportation; and workers' rights” at Figs. 6-17.

**As per claim 7**, Lee teaches the system of claim 1, wherein “the system programming stored on the computer readable medium or media that causes the at least one computer to automatically:

- “access within the at least one database new information responsive to the request” at Col. 2 lines 7-22 and Fig. 6;
- “cause the accessed new information to be displayed on a display associated with the terminal from which the request was received, the information tabulated by type of legal information” at Fig.7.

**As per claim 8**, Lee teaches the system of claim 1, wherein “the programming stored on the computer readable medium or media that causes the at least one computer to automatically and periodically:

- “access the at least one database to determine whether new information responsive to the request is stored within the at least one database” at Col. 2 lines 7-22 and Fig. 6;
- “if new information responsive to the request is stored within the at least one database, cause the new information to be displayed on a display associated with the terminal from which the request was received, tabulated by type of legal information” at Fig. 7.

**As per claim 9**, Lee teaches the system of claim 1, wherein the programming stored on the computer readable medium or media causes the at least one computer to automatically and continually:

- “access the at least one database to determine whether new information responsive to the request is stored within the at least one database” at Col. 2 lines 7-22 and Fig. 6;
- “if new information responsive to the request is stored within the at least one database, cause the new information to be displayed on a display associated with the terminal from which the request was received, tabulated by type of legal information” at Fig. 6.

**As per claim 10**, Lee teaches the system of claim 1, comprising “a plurality of databases for storing the information in relation to the at least one legal topic, wherein the information is stored in separate databases according to the respective at least one legal topic in relation to which information is stored” at Col. 1 line 60 to Col. 2 line 6.

**As per claim 11**, Lee teaches the system of claim 1, comprising “plurality of databases for storing the information in relation to the at least one legal topic, wherein the information is stored in separate databases by type of legal information” at Col. 5 lines 35-50 and Fig. 3.

**As per claim 12**, Lee teaches the system of claim 1, comprising a plurality of databases for storing the information in relation to the at least one a plurality of legal topic, wherein the information is provided by a plurality of sources, and the information is stored in separate databases according to the sources that provided the information” at Col. 9 lines 25-45.

**As per claim 16**, Lee teaches at Fig. 1 a system for providing legal information, the system comprising at least one computer, at least one database associated with the at least one computer for storing items of legal information and at least one document including written information associated with each item at Figs. 3, 6, the legal information being stored in relation to at least one of a plurality of legal topics at Fig. 6 and related to at least one type of legal information of a plurality of types of legal information at Fig. 7, and programming stored on a computer readable medium or media that causes the at least one computer to:

- display on a display device coupled to a user-accessible terminal coupled with the system items of legal information, tabulated by type” at Figs. 6-7;
- “access within at least one database, in response to a request received from the user-accessible terminal, content information associated with a document related to an item of the displayed information” at Col. 8 lines 35-48 and Figs. 6-7; and
- “cause the accessed content information to be displayed on the display device associated with the terminal from which the request for content information was received” at Fig. 7.



**As per claim 17**, Lee teaches the system of claim 16, wherein "the items comprise selectable links and the request is generated by a user selecting a selectable link using an input device coupled with the user-accessible terminal" at Col. 8 lines 35-48.

**As per claim 18**, Lee teaches the system of claim 16, wherein "the displayed content information comprises a complete version of a document" at Col. 7 lines 5-30.

**As per claim 19**, Lee teaches the system of claim 16, wherein "the displayed content information comprises an abbreviated version of a document" at Col. 5 lines 25-35.

**As per claim 20**, Lee teaches the system of claim 19, wherein "the abbreviated version of a document comprises a summary of a document" at Col. 7 lines 5-30.

**As per claim 21**, Lee teaches the system of claim 19, wherein "the abbreviated version of a document comprises at least one redacted portion of a document" at Col. 5 lines 25-35 and Col. 7 lines 5-30.

**As per claim 22**, Lee teaches the system of claim 16, wherein "the displayed content information comprises at least a partial image of a document" at Col. 7 lines 5-30.

**As per claim 23**, Lee teaches the system of claim 16, wherein “the accessed content information is displayed in a different display window than the displayed information items” at Figs. 6-17.

**As per claim 24**, Lee teaches the system of claim 16, wherein “the accessed content information is displayed on a different monitor screen than the displayed information items” at Col. 7 lines 35-45.

**As per claim 27**, Lee teaches at Figs. 1-3 a system for providing legal information, the system comprising at least one computer, a plurality of databases associated with the at least one computer for storing information in relation to a plurality of legal topics at Figs. 3, 6 and related to at least one type of legal information of a plurality of types of legal information at Fig. 7, and programming stored on a computer readable medium or media, wherein:

- “at least one of the databases is accessible in response to requests received from terminals having a general authorization for access to the system” at Col. 4 lines 53-55, and
- “at least one of the databases is accessible in response to requests received from terminals having a specific authorization but not accessible in response to requests received from terminals having only the general authorization” at Col. 6 lines 5-35;

- “and the programming causes the at least one computer to: access, in response to a request received from a user-accessible terminal coupled with the system, information stored in relation to at least one legal topic of the plurality of legal topics in at least one database accessible by terminals having the general authorization” at Figs. 5-6;
- “and in at least one database accessible by terminals having the specific authorization; and cause the accessed information to be displayed on a display device associated with the terminal from which the request was received, tabulated by type” at Fig.7.

**As per claim 28**, Lee teaches the system of claim 27, wherein “the second database accessible in response to requests received from terminals having the specific authorization is associated with the user-accessible terminal from which the request is received” at Col. 6 lines 5-35.

**As per claim 29**, Lee teaches the system of claim 27, wherein “the programming stored on a computer readable medium or media that causes the system to access information responsive to the request in the at least one database accessible by terminals having a general system authorization and in the at least one database accessible by terminals having a specific authorization is stored on a computer readable medium or media associated with the terminal from which the request is received” at Col. 6 lines 5-35.

**As per claim 30**, Lee teaches a method of processing legal information, the method comprising:

- “assigning to each of a plurality of documents received from at least one information source comprising information relating to a plurality of legal topics, and stored in relation to the plurality of topics, at least one identifier associated with (a) at least one of the legal topics in relation to which the document is stored and (b) at least one of a plurality of types of legal information stored within the at least one legal topic” at Col. 10 lines 34-44 and Figs. 6, 12;
- “formatting the received documents according to a protocol; storing the formatted documents in at least one database” at Col. 9 lines 10;
- “using identifiers associated with the stored documents to identify documents within the at least one database responsive to a request received from a user-accessible terminal for information related to at least one of the plurality of legal topics” at Col. 10 lines 44-65;
- “causing information associated with the identified documents to be displayed on a display associated with the user-accessible terminal from which the request was received, tabulated by type” at Col. 10 line 65-67 and Fig. 7.

**As per claim 31**, Lee teaches the method of claim 30, wherein “documents are received from a plurality of sources” Fig. 1.

**As per claim 32**, Lee teaches the method of claim 30, wherein “documents are stored in a plurality of databases” at Col. 9 lines 3-23.

**As per claim 33**, Lee teaches the method of claim 32, wherein “the documents are stored in the plurality of databases according to at least one of: the topics in relation to which respective document are stored, the type assigned to the documents, and the sources of the documents” at Col. 9 lines 3-43.

**As per claim 34**, Lee teaches the method of claim 30, comprising: “checking formatted documents for compliance with a document receiving protocol; and generating a notice of defects automatically upon determination that a checked document does not comply with the protocol” at Col. 9 lines 55-65.

**As per claim 35**, Lee teaches the method of claim 34, comprising “automatically resubmitting the non-complying document for reprocessing” at Col. 9 lines 55-65.

**Claims 36, 42, 44, 46-49** recite similar limitation as discussed above and therefore rejected by the same reasons

**As per claim 50**, Lee teaches at Fig. 1-3 a system for providing legal information, the system comprising at least one computer, at least one database associated with the at least one computer for storing information related to a plurality of

legal topics at Fig. 3, 6 and at least one type of legal information of a plurality of types of legal information, the information being stored in relation to the plurality of legal topics at Fig. 7, and programming stored on a computer readable medium or media that causes the at least one computer to:

- “access within the at least one database information stored in relation to at east two of a plurality of legal topics and a plurality of types of legal information within the plurality of topics, in response to a request received from a user-accessible terminal coupled with the system” at Figs. 6-16;
- “and cause the accessed information to be output to a device in accordance with a designation received from the terminal from which the request was received, the information comprising identifiers for tabulation by type, in accordance with a designation received from the terminal from which the request was received” at Figs. 7, 17.

**As per claim 51**, Lee teaches the system of claim 50, wherein “the device is a memory controlled by a processor associated with the at least one database” at Col. 5 lines 25-35.

**As per claim 52**, Lee teaches the system of claim 50, wherein “the device is a memory designated by the terminal from which the request was received” at Col. 5 lines 25-35.

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**As per claim 53**, Lee the system of claim 50, wherein "the device is a memory associated with an electronic mail address" at Col. 4 lines 20-30.

**As per claim 54**, Lee teaches the system of claim 50, wherein "the device is at least one of a display, a printer, and a non-volatile memory" at Col. 5 lines 25-35.

**As per claim 55**, Lee teaches the system of claim 50, wherein "the device is an output device associated with at least one input device for interactive control of the output of the information to a display memory" at Col. 5 lines 25-35 and Figs. 6-17.

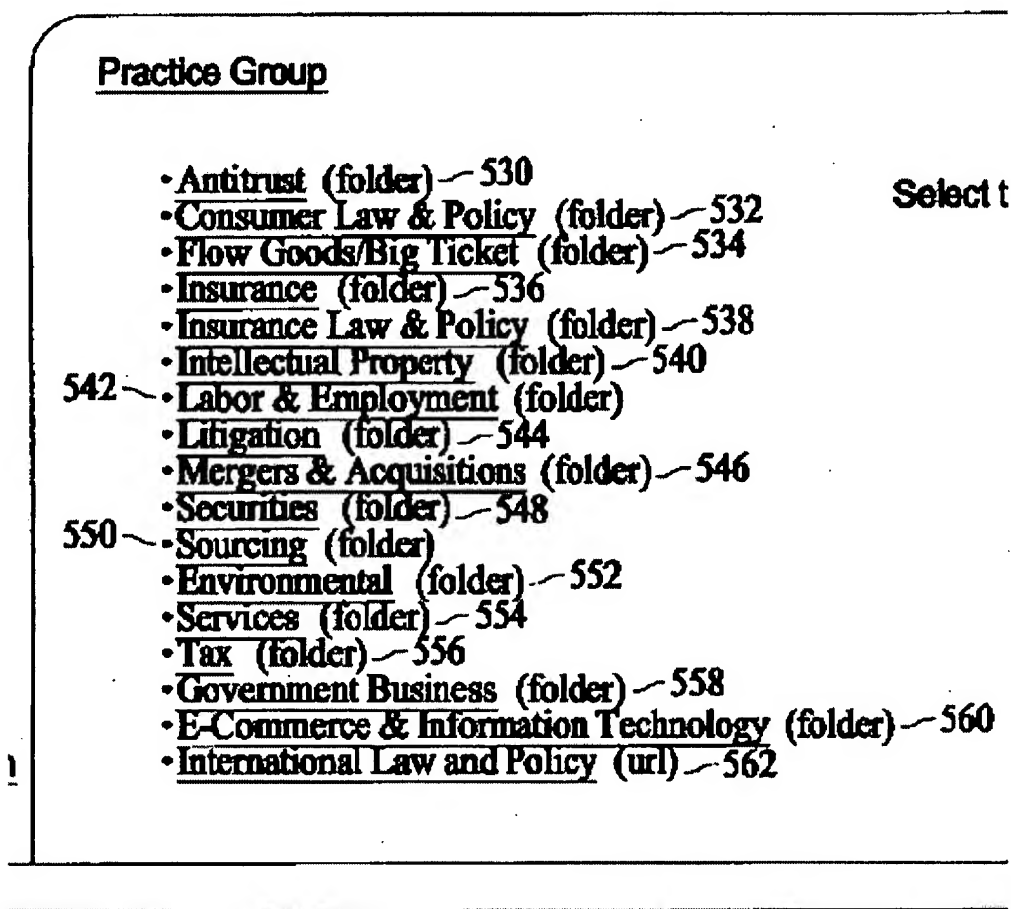
### ***Response to Arguments***

4. Applicant's arguments filed July 5, 2007 have been fully considered but they are not persuasive. The examiner respectfully traverses applicant's arguments.

Regarding claim 1, applicant argued that Lee fails to disclose or suggest accessing information that has been stored in relation to at least one legal topic. On the contrary, Lee teaches as least at Fig. 6 and 10 multiple folders to store information,

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wherein each folder is in relation to at least one legal topic such as "Antitrust" 530 or Securities 548. A portion of Lee's Fig. 10 is reproduced below:

**FIG. 10**

Applicant also argued that each of the folder shown in Fig. 10 above is "business unit", not "legal topic", the examiner respectfully disagree. Lee's Fig. 10 clearly shows that legal document in the database are classified in to multiple topics, wherein each topic is stored in a separate folder. Lee therefore discloses "accessing information that has been stored in relation to at least one legal topic" as claimed.



Applicant further argued that "Lee fails to disclose or suggest tabulating information by type". On the contrary, Lee's Fig. 7 shows a user interface displayed in response to a user's selection of "Intellectual Property" topic, wherein the displayed information is tabulated by type of legal information, in which different type of information is listed under different headings 412, 414, 416...

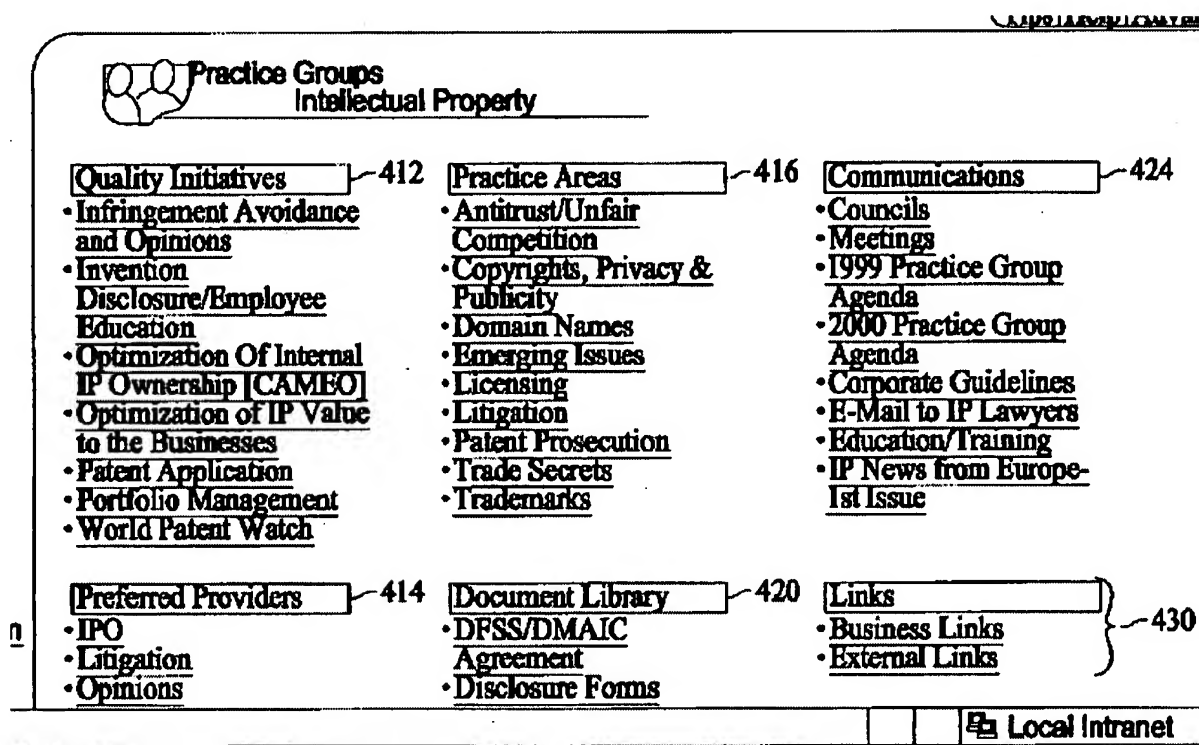


FIG. 7

In light of the foregoing arguments, the 35 U.S.C 102 rejection is hereby sustained.

### ***Conclusion***

Examiner's Note: Examiner has cited particular columns and line numbers in the references applied to the claims above for the convenience of the applicant. Although the specified citations are representative of the teachings of the art and are applied to specific limitations within the individual claim, other passages and figures may apply as well. It is respectfully requested from the applicant in preparing responses, to fully consider the references in entirety as potentially teaching all or part of the claimed invention, as well as the context of the passage as taught by the prior art or disclosed by the Examiner.

In the case of amending the Claimed invention, Applicant is respectfully requested to indicate the portion(s) of the specification which dictate(s) the structure relied on for proper interpretation and also to verify and ascertain the metes and bounds of the claimed invention.

The prior art made of record, listed on form PTO-892, and not relied upon, if any, is considered pertinent to applicant's disclosure.

If a reference indicated as being mailed on PTO-FORM 892 has not been enclosed in this action, please contact Lisa Craney whose telephone number is (571) 272-3574 for faster service.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Khanh B. Pham whose telephone number is (571) 272-

4116. The examiner can normally be reached on Monday through Friday 7:30am to 4:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hosain Alam can be reached on (571) 272-3978. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Khanh B. Pham  
Primary Examiner  
Art Unit 2166



September 11, 2007